

# Homogenization based on realization-dependent Hashin-Shtrikman functionals of piecewise polynomial trial polarization fields

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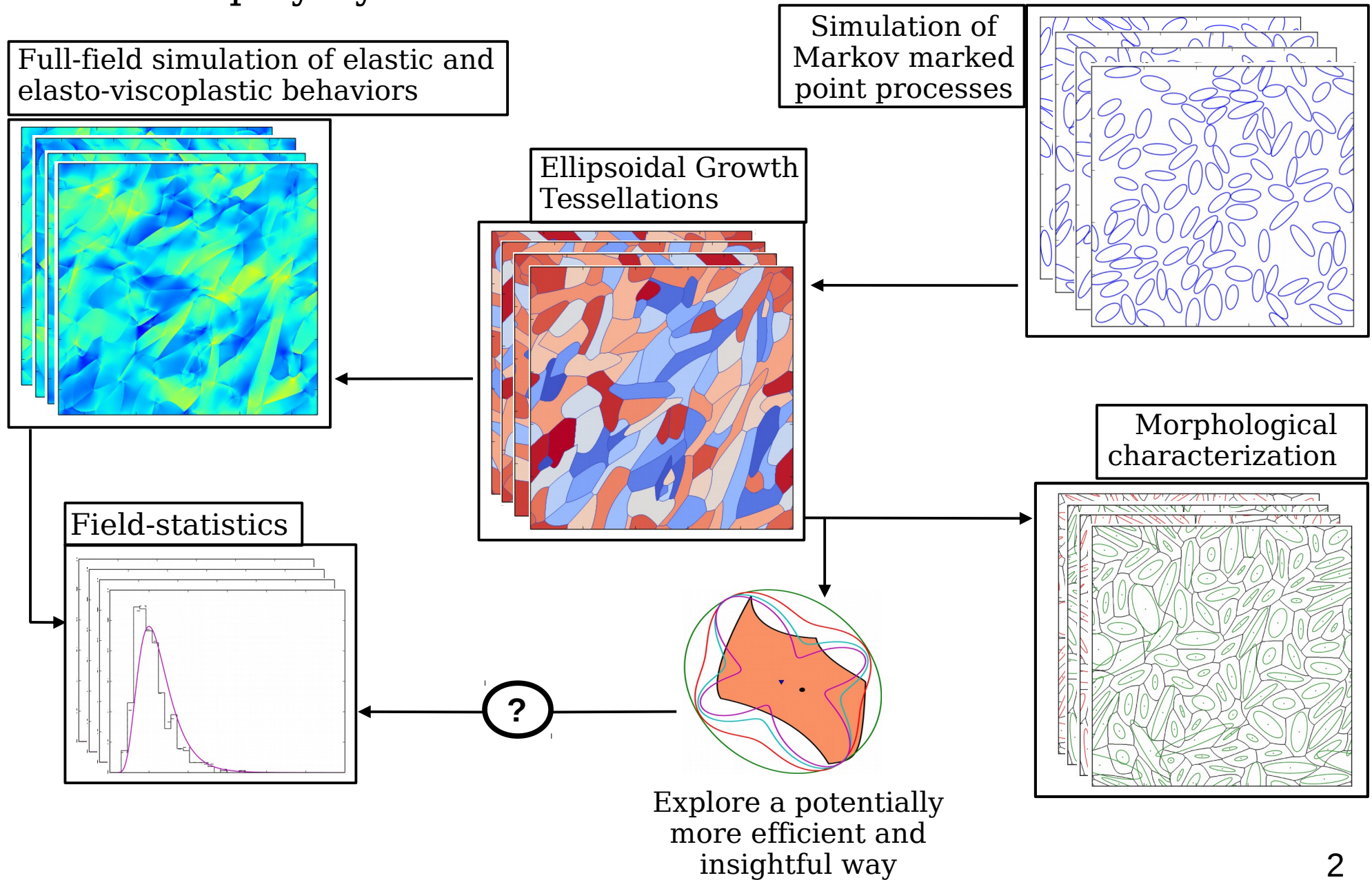


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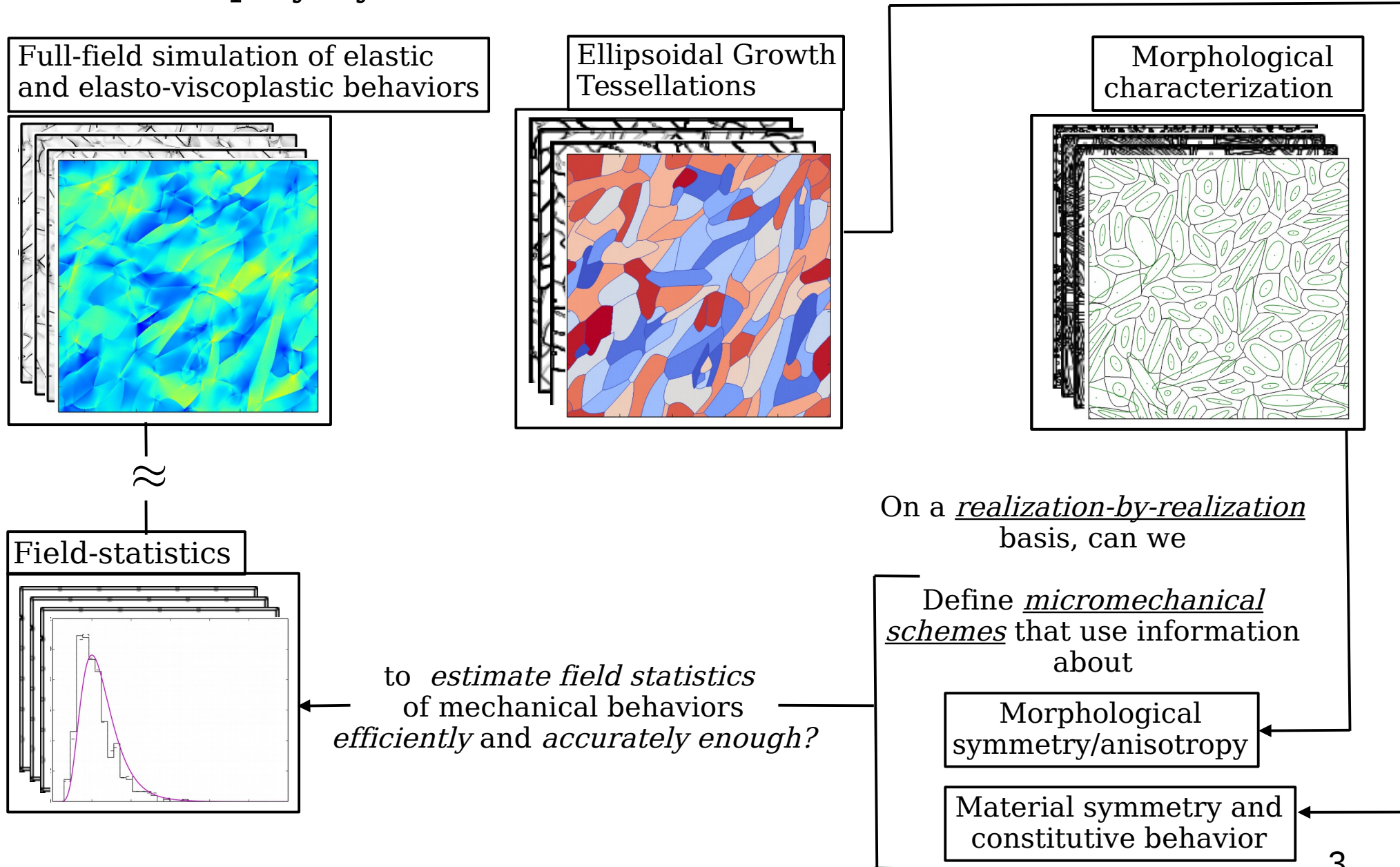
# Motivation/Objective

- Understand the role of morphology on the mechanical performance of random polycrystals



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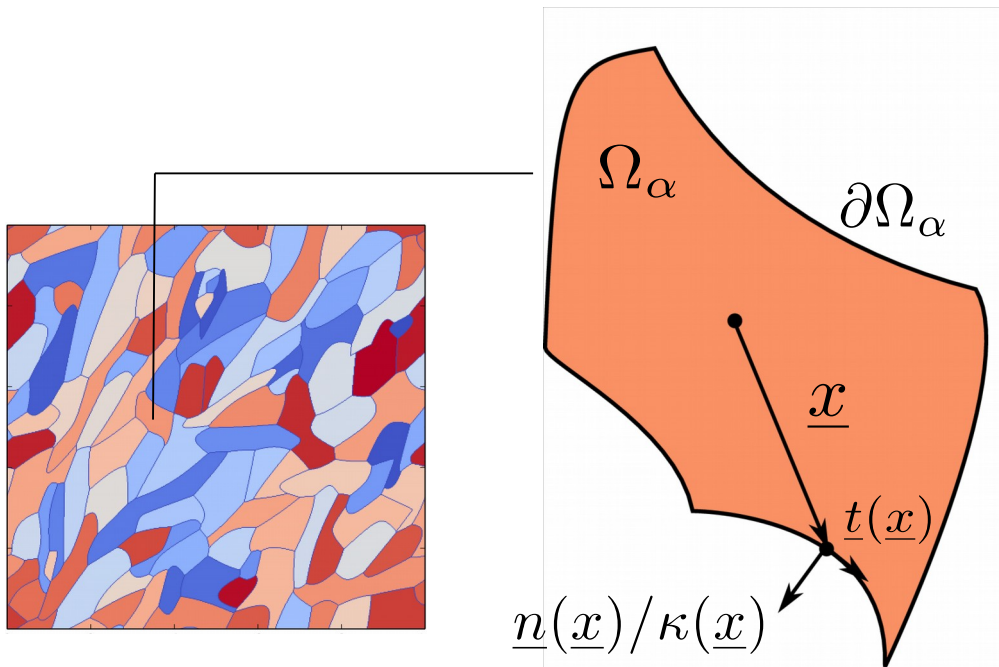
- Understand the role of morphology on the mechanical performance of random polycrystals





# Morphological characterization

Single grains are characterized using Minkowski tensors:



Measures of mass distribution:

$$\mathcal{W}_0^{r,0} = \int_{\Omega_\alpha} \underline{x}^{\otimes r} dV$$

Measures of surface distribution:

$$\mathcal{W}_1^{r,s} = \int_{\partial\Omega_\alpha} \underline{x}^{\otimes r} \odot [\underline{n}(\underline{x})]^{\otimes s} dS$$

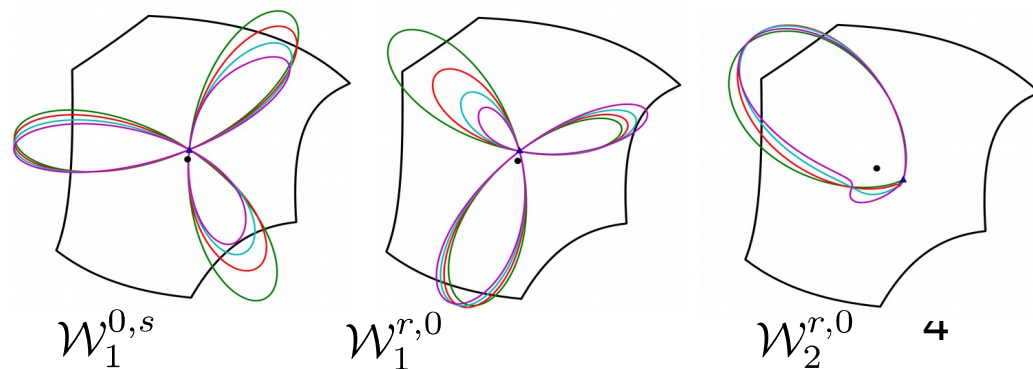
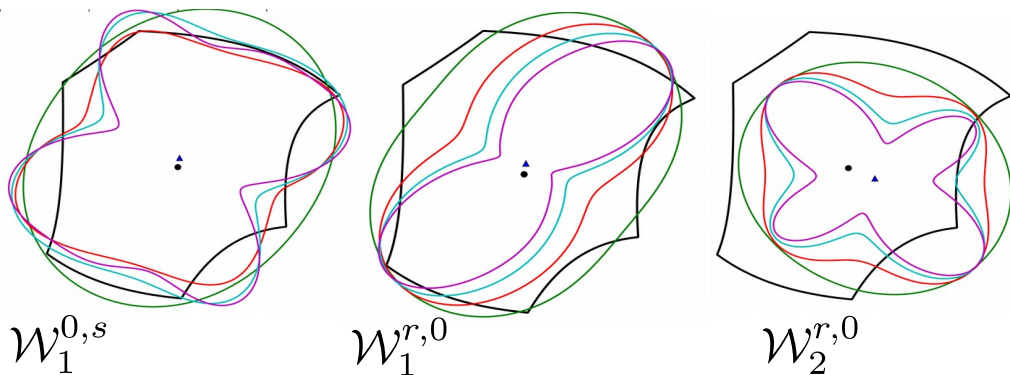
Curvature-weighted measures of surface distribution:

$$\mathcal{W}_2^{r,s} = \int_{\partial\Omega_\alpha} \kappa(\underline{x}) \underline{x}^{\otimes r} \odot [\underline{n}(\underline{x})]^{\otimes s} dS$$

Reynolds glyphs of Minkowski tensors

— :  $r + s = 2$ 
— :  $r + s = 6$   
— :  $r + s = 4$ 
— :  $r + s = 8$

— :  $r + s = 3$ 
— :  $r + s = 7$   
— :  $r + s = 5$ 
— :  $r + s = 9$



# Lippmann-Schwinger equation for periodic elastic media

Periodic elastic BVP:

$$\boldsymbol{\sigma}(\underline{x}) = \mathbb{L}(\underline{x}) : \boldsymbol{\varepsilon}(\underline{x}) , \quad \nabla \cdot \boldsymbol{\sigma}(\underline{x}) = \underline{0} , \quad \boldsymbol{\varepsilon}(\underline{x}) = \{\nabla \underline{u}(\underline{x})\}_{sym}$$

for all  $\underline{x} \in \mathbb{R}^2$ , with  $\mathbb{L}(\underline{x} + (n\underline{e}_1 + m\underline{e}_2)L) = \mathbb{L}(\underline{x})$  for all  $n, m \in \mathbb{Z}$  s.t.

$$\underline{u}(\underline{x} + (n\underline{e}_1 + m\underline{e}_2)L) = \underline{u}(\underline{x}) + L \bar{\boldsymbol{\varepsilon}} \cdot (n\underline{e}_1 + m\underline{e}_2)$$

$$\boldsymbol{\sigma}(\underline{x} + (n\underline{e}_1 + m\underline{e}_2)L) \cdot \underline{e}_k = \boldsymbol{\sigma}(\underline{x}) \cdot \underline{e}_k \text{ for } k = 1, 2$$

and where  $\bar{\bullet} := \frac{1}{L^2} \int_{\Omega} \bullet(\underline{x}) d\nu_{\underline{x}}$  is a volume average over  $\Omega := [0, L] \times [0, L]$ .

Then, as we introduce the polarization field  $\boldsymbol{\tau}$  with reference  $\mathbb{L}^0$ ,

$$\boldsymbol{\tau}(\underline{x}) := \boldsymbol{\sigma}(\underline{x}) - \mathbb{L}^0 : \boldsymbol{\varepsilon}(\underline{x}) = \Delta \mathbb{L}(\underline{x}) : \boldsymbol{\varepsilon}(\underline{x})$$

where  $\Delta \mathbb{L}(\underline{x}) := \mathbb{L}(\underline{x}) - \mathbb{L}^0$ , the local statement of equilibrium becomes

$$\nabla \cdot \boldsymbol{\tau}(\underline{x}) + \nabla \cdot [\mathbb{L}^0 : \boldsymbol{\varepsilon}(\underline{x})] = \underline{0} \quad \text{Disturbance strain field } \tilde{\boldsymbol{\varepsilon}}(\underline{x}) \text{ with vanishing field average.}$$

with solution

$$\boxed{\boldsymbol{\varepsilon}(\underline{x}) = \bar{\boldsymbol{\varepsilon}} - \boxed{\boldsymbol{\Gamma} * \boldsymbol{\tau}(\underline{x})} = \bar{\boldsymbol{\varepsilon}} - \boldsymbol{\Gamma} * [\Delta \mathbb{L} : \boldsymbol{\varepsilon}(\underline{x})]} \quad \text{Lippmann-Schwinger equation}$$

in which  $\boldsymbol{\Gamma} * \boldsymbol{\tau}(\underline{x}) := \int_{\mathbb{R}^2} \underbrace{\boldsymbol{\Gamma}(\underline{x}' - \underline{x}) : \boldsymbol{\tau}(\underline{x}')}_{\text{Periodic Green operator for strains.}} d\nu_{\underline{x}'}$ .

Note that for all  $\underline{x}$ , we have  $\bar{\boldsymbol{\varepsilon}} = [\Delta \mathbb{L}(\underline{x})]^{-1} : \boldsymbol{\tau}(\underline{x}) + \boldsymbol{\Gamma} * \boldsymbol{\tau}(\underline{x})$

# Hashin-Shtrikman (HS) variational principle

Multiplying the previous expression by a test field  $\tau'$ , we have

$$\tau'(\underline{x}) : \bar{\varepsilon} = \tau'(\underline{x}) : [\Delta \mathbb{L}(\underline{x})]^{-1} : \tau(\underline{x}) + \tau'(\underline{x}) : (\Gamma * \tau)(\underline{x})$$

which, after volume averaging over  $\Omega$ , becomes

$$\overline{\tau' : \bar{\varepsilon}} = \overline{\tau' : \Delta \mathbb{L}^{-1} : \tau} + \overline{\tau' : (\Gamma * \tau)}$$

*Differential of the HS functional evaluated at the equilibrated stress  $\tau$*

The HS functional is defined as follows by Hashin and Shtrikman (1962):

$$\mathcal{H}(\tau') := \overline{\tau' : \bar{\varepsilon}} - 1/2 \overline{\tau' : (\Delta \mathbb{L})^{-1} : \tau'} - 1/2 \overline{\tau' : (\Gamma * \tau')}$$

$\mathcal{H}$  admits a stationary state for the equilibrated polarization field  $\tau$ , irrespective of the reference stiffness  $\mathbb{L}^0$ . At equilibrium, we also have  $\mathcal{H}(\tau) = 1/2 \bar{\varepsilon} : (\mathbb{L}^{eff} - \mathbb{L}^0) : \bar{\varepsilon}$ , where  $\mathbb{L}^{eff}$  is s.t.  $\bar{\sigma} = \mathbb{L}^{eff} : \bar{\varepsilon}$ .

Boundedness conditions of  $\mathcal{H}$ :

$$\begin{aligned} \Delta \mathbb{L}(\underline{x}) \text{ PSD for all } \underline{x} \text{ implies } \mathcal{V}_1 \subseteq \mathcal{V}_2 \subseteq \mathcal{V} &\implies \sup_{\mathcal{V}_1} \mathcal{H} \leq \sup_{\mathcal{V}_2} \mathcal{H} \leq \sup_{\mathcal{V}} \mathcal{H} = \mathcal{H}(\tau) \\ \Delta \mathbb{L}(\underline{x}) \text{ NSD for all } \underline{x} \text{ implies } \mathcal{V}_1 \subseteq \mathcal{V}_2 \subseteq \mathcal{V} &\implies \inf_{\mathcal{V}_1} \mathcal{H} \geq \inf_{\mathcal{V}_2} \mathcal{H} \geq \inf_{\mathcal{V}} \mathcal{H} = \mathcal{H}(\tau) \end{aligned}$$

Searching for polarization fields among richer functional spaces guarantees not to deteriorate the quality of the solution if the reference medium is chosen properly.

# Case of piecewise constant polarization fields, i.e. $\nu^{h_0}$

Assume  $\tau^{h_0}(\underline{x}) := \sum_{\alpha} \chi_{\alpha}(\underline{x}) \tau^{(\alpha)}$  where  $\chi_{\alpha} := \begin{cases} 1 & \text{if } \underline{x} \in \Omega_{\alpha} \\ 0 & \text{otherwise} \end{cases}$ .

Then  $\overline{\tau^{h_0} : (\Gamma * \tau^{h_0})} = \sum_{\alpha} \sum_{\gamma} \tau^{\alpha} : \mathbb{T}_{0,0}^{\alpha\gamma} : \tau^{\gamma}$ , where

*influence tensors*

$$\mathbb{T}_{0,0}^{\alpha\gamma} := \frac{1}{|\Omega|} \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} \chi_{\alpha}(\underline{x}) \chi_{\gamma}(\underline{y}) \Gamma(\underline{x} - \underline{y}) d\nu_{\underline{x}} d\nu_{\underline{y}}$$

so that the HS functional becomes

$$\mathcal{H}(\tau) = \sum_{\alpha} c_{\alpha} \tau^{\alpha} : \bar{\epsilon} - \frac{1}{2} \sum_{\alpha} c_{\alpha} \tau^{\alpha} : (\Delta \mathbb{L}^{\alpha})^{-1} : \tau^{\alpha} - \frac{1}{2} \sum_{\alpha} \sum_{\gamma} \tau^{\alpha} : \mathbb{T}_{0,0}^{\alpha\gamma} : \tau^{\gamma}$$

for which the stationary state is obtained for

$$c_{\alpha} (\Delta \mathbb{L}^{\alpha})^{-1} : \tau^{\alpha} + \sum_{\gamma} \mathbb{T}_{0,0}^{\alpha\gamma} : \tau^{\gamma} = c_{\alpha} \bar{\epsilon} \quad \text{for all } \alpha$$

Remark: We want to avoid integrating  $\Gamma$ . Instead, we want to find a relation between  $\mathbb{T}_{0,0}^{\alpha\gamma}$ , the Minkowski tensors (which we use to characterize morphological anisotropy) of the microstructure, and the derivatives of  $\Gamma$ .

# Influence tensors for polarization fields in $\mathcal{V}^{h_0}$

To avoid singularities, we consider the domain  $\Omega'_\alpha := \Omega_\alpha \uplus \{-\underline{x}_\alpha\}$  and let

$$\mathbb{T}_{0,0}^{\alpha\gamma} = \frac{1}{|\Omega|} \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} \chi_\alpha(\underline{x} + \underline{x}_{\gamma\alpha}) \chi_\gamma(\underline{y} + \underline{x}_\gamma) \mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} = \frac{1}{|\Omega|} \int_{\Omega'_\gamma} \int_{\Omega'_\alpha} \mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}}$$

where  $\underline{x}_{\gamma\alpha} := \underline{x}_\alpha - \underline{x}_\gamma$ . Also, we consider the following Taylor expansion,

$${}^n\mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) := \mathbf{\Gamma}(\underline{x}_{\gamma\alpha}) + \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i}{(k-i)!i!} \left\langle \mathbf{\Gamma}^{(k)}(\underline{x}_{\gamma\alpha}), \underline{x}^{\otimes k-i} \otimes \underline{y}^{\otimes i} \right\rangle_k \text{ for all } (\underline{x}, \underline{y}) \in \Omega'_\alpha \times \Omega'_\gamma$$

so that for  $\gamma \neq \alpha$ , we introduce the following estimates:

$$\begin{aligned} {}^n\mathbb{T}_{0,0}^{\alpha\gamma} &:= \frac{1}{|\Omega|} \int_{\Omega'_\gamma} \int_{\Omega'_\alpha} {}^n\mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} \\ {}^n\mathbb{T}_{0,0}^{\alpha\gamma} &= c_\alpha c_\gamma |\Omega| \mathbf{\Gamma}(\underline{x}_{\gamma\alpha}) + \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i}{(k-i)!i!} \left\langle \mathbf{\Gamma}^{(k)}(\underline{x}_{\gamma\alpha}), \mathcal{W}_0^{k-i,0}(\Omega'_\alpha) \otimes \mathcal{W}_0^{i,0}(\Omega'_\gamma) \right\rangle_k \end{aligned}$$

where,  $\mathbf{\Gamma}^{(m)}(\underline{x})$  is the  $m$ -th derivative of the Green operator, i.e. with components  $\Gamma_{ijkln_1 \dots n_m}^{(m)}(\underline{x}) = \partial_{n_1 \dots n_m} \Gamma_{ijkl}(\underline{x})$ .

Note that the Taylor expansion does satisfy the Maxwell-Betti theorem, i.e. for a stationary system

$${}^n\Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) = {}^n\Gamma_{klij}(\underline{y} - \underline{x} + \underline{x}_{\alpha\gamma})$$



# Self-influence tensors for polarization fields in $\mathcal{V}^{h_0}$

When  $\gamma = \alpha$ , we refer to  $\mathbb{T}_{0,0}^{\alpha\gamma}$  as a *self-influence* tensor. We can not integrate  $\Gamma(\underline{x} - \underline{y} + \underline{x}_{\alpha\alpha})$  over  $\Omega'_\alpha \times \Omega'_\alpha$  because  $\Gamma$  is singular at the origin.

Instead, we proceed to the same change of variables as before, for some  $\gamma \neq \alpha$ . We obtain

$$\mathbb{T}_{0,0}^{\alpha\alpha} = \frac{1}{|\Omega|} \int_{\Omega_\alpha^\gamma} \int_{\Omega'_\alpha} \Gamma(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}}$$

where  $\Omega_\alpha^\gamma := \Omega_\alpha \uplus \{-\underline{x}_\gamma\} = \{\underline{x} - \underline{x}_\gamma \mid \underline{x} \in \Omega_\alpha\}$ . Using the same Taylor series expansion as before, we get

$${}^n\mathbb{T}_{0,0}^{\alpha\alpha} = c_\alpha^2 |\Omega| \Gamma(\underline{x}_{\gamma\alpha}) + \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i}{(k-i)!i!} \left\langle \Gamma^{(k)}(\underline{x}_{\gamma\alpha}), \mathcal{W}_0^{k-i,0}(\Omega'_\alpha) \otimes \mathcal{W}_0^{i,0}(\Omega_\alpha^\gamma) \right\rangle_k$$

where, similarly, we have  $\Omega_\alpha^\gamma = \Omega'_\alpha \uplus \{\underline{x}_{\gamma\alpha}\}$  so that  $\mathcal{W}_0^{i,0}(\Omega_\alpha^\gamma) = \mathcal{W}_0^{i,0}(\Omega'_\alpha \{\underline{x}_{\gamma\alpha}\})$ .

Because Minkowski tensors are motion covariant, we can write

Compute these  
for  $i = 0, \dots, n$

$$\mathcal{W}_0^{i,0}(\Omega_\alpha^\gamma) = \sum_{t=0}^i \binom{i}{t} \underline{x}_{\gamma\alpha}^{\otimes t} \odot \mathcal{W}_0^{i-t,0}(\Omega'_\alpha)$$

so that there is no need to re-analyze a digital microstructure to evaluate  $\mathcal{W}_0^{i,0}(\Omega_\alpha^\gamma)$ , which we need to compute the self-influence tensors.

# Influence tensors for polarization fields in $\nu^{h_0}$

To summarize, the following estimates of influence and self-influence tensors are obtained:

estimate of the 0-0 influence tensor of  $\Omega_\gamma$  over  $\Omega_\alpha$

$${}^n\mathbb{T}_{0,0}^{\alpha\gamma} := \frac{1}{|\Omega|} \int_{\Omega'_\gamma} \int_{\Omega'_\alpha} {}^n\Gamma(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} \quad \boxed{\gamma \neq \alpha}$$

estimate of the 0-0 self-influence tensor of  $\Omega_\alpha$

$${}^n\mathbb{T}_{0,0}^{\alpha\alpha} = \frac{1}{|\Omega|} \int_{\Omega_\alpha^\gamma} \int_{\Omega'_\alpha} {}^n\Gamma(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} \quad \boxed{\gamma \neq \alpha}$$

which we respectively recast in the following expressions:

$$\begin{aligned} ({}^nT_{0,0}^{\alpha\gamma})_{ijkl} &= c_\alpha c_\gamma |\Omega| \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) \\ &+ \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i \Gamma_{ijklk_1..k_k}^{(k)}(\underline{x}_{\gamma\alpha})}{(k-i)!i!|\Omega|} [W_0^{k-i,0}(\Omega'_\alpha)]_{k_1..k_{k-i}} [W_0^{i,0}(\Omega'_\gamma)]_{k_{k-i+1}..k_k} \end{aligned}$$

for all  $\gamma \neq \alpha$

$$\begin{aligned} {}^n\Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) &= {}^n\Gamma_{kl ij}(\underline{y} - \underline{x} + \underline{x}_{\alpha\gamma}) \\ {}^n\Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) &= {}^n\Gamma_{kl ij}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) \end{aligned} \implies ({}^nT_{0,0}^{\gamma\alpha})_{kl ij} = ({}^nT_{0,0}^{\gamma\alpha})_{ijkl} = ({}^nT_{0,0}^{\alpha\gamma})_{kl ij} = ({}^nT_{0,0}^{\alpha\gamma})_{ijkl}$$

$$\begin{aligned} ({}^nT_{0,0}^{\alpha\alpha})_{ijkl} &= c_\alpha^2 |\Omega| \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) \\ &+ \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i {}^n\Gamma_{ijklk_1..k_k}^{(k)}(\underline{x}_{\gamma\alpha})}{(k-i)!i!} [W_0^{k-i,0}(\Omega'_\alpha)]_{k_1..k_{k-i}} [W_0^{i,0}(\Omega_\alpha^\gamma)]_{k_{k-i+1}..k_k} \end{aligned}$$

for any  $\gamma \neq \alpha$

For  $\gamma$  fixed,  $\boxed{({}^nT_{0,0}^{\alpha\alpha})_{ijkl} = ({}^nT_{0,0}^{\alpha\alpha})_{kl ij}}$

# Influence tensors for polarization fields in $\nu^{h_0}$

To summarize, the following estimates of influence and self-influence tensors are obtained:

estimate of the 0-0 influence tensor of  $\Omega_\gamma$  over  $\Omega_\alpha$

$${}^n\mathbb{T}_{0,0}^{\alpha\gamma} := \frac{1}{|\Omega|} \int_{\Omega'_\gamma} \int_{\Omega'_\alpha} {}^n\mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} \quad \boxed{\gamma \neq \alpha}$$

estimate of the 0-0 self-influence tensor of  $\Omega_\alpha$

$${}^n\mathbb{T}_{0,0}^{\alpha\alpha} = \frac{1}{|\Omega|} \int_{\Omega_\alpha^\gamma} \int_{\Omega'_\alpha} {}^n\mathbf{\Gamma}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}} \quad \boxed{\gamma \neq \alpha}$$

which we respectively recast in the following expressions:

$$\begin{aligned} ({}^nT_{0,0}^{\alpha\gamma})_{ijkl} &= c_\alpha c_\gamma |\Omega| \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) \\ &+ \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i \Gamma_{ijklk_1..k_k}^{(k)}(\underline{x}_{\gamma\alpha})}{(k-i)!i!|\Omega|} \end{aligned}$$

for all  $\gamma \neq \alpha$

Results obtained for piecewise constant trial fields



No field statistics available

$$\left. \begin{aligned} {}^n\Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) &= {}^n\Gamma_{kl ij}(\underline{y} - \underline{x} + \underline{x}_{\alpha\gamma}) \\ {}^n\Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) &= {}^n\Gamma_{kl ij}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) \end{aligned} \right\} \Rightarrow ({}^nT_{0,0}^{\gamma\alpha})_{ijkl}$$

$$\begin{aligned} ({}^nT_{0,0}^{\alpha\alpha})_{ijkl} &= c_\alpha^2 |\Omega| \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) \\ &+ \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i {}^n\Gamma_{ijklk_1..k_k}^{(k)}(\underline{x}_{\gamma\alpha})}{(k-i)!i!} [W_0^{k-i,0}(\Omega'_\alpha)]_{k_1..k_{k-i}} [W_0^{i,0}(\Omega_\alpha^\gamma)]_{k_{k-i+1}..k_k} \end{aligned}$$

for any  $\gamma \neq \alpha$

For  $\gamma$  fixed,  $\boxed{({}^nT_{0,0}^{\alpha\gamma})_{ijkl} = ({}^nT_{0,0}^{\alpha\alpha})_{kl ij}}$

# Piecewise polynomial polarization fields, i.e. $\nu^{h_p}$

Now, if we assume a trial polynomial field of degree  $p$  given by

$$\tau^{h_p}(\underline{x}) := \sum_{\alpha} \left( \chi_{\alpha}(\underline{x}) \tau^{\alpha} + \chi_{\alpha}(\underline{x}) \sum_{k=1}^p \left\langle \tau^{\alpha} \partial^k, (\underline{x} - \underline{x}^{\alpha})^{\otimes k} \right\rangle_k \right),$$

The term  $\overline{\tau^{h_p} : (\Gamma * \tau^{h_p})}$  then contains terms of the form

$$\begin{aligned} \int_{\Omega_{\alpha}} \int_{\Omega_{\gamma}} (x_{r_1} - x_{r_1}^{\alpha}) \dots (x_{r_r} - x_{r_r}^{\alpha}) \Gamma_{ijkl}(\underline{x} - \underline{y}) (y_{s_1} - x_{s_1}^{\gamma}) \dots (y_{s_s} - x_{s_s}^{\gamma}) d\nu_{\underline{x}} d\nu_{\underline{y}} \\ = \quad \downarrow \text{Change of variable} \\ \int_{\Omega'_{\alpha}} \int_{\Omega'_{\gamma}} x_{r_1} \dots x_{r_r} \Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) y_{s_1} \dots y_{s_s} d\nu_{\underline{x}} d\nu_{\underline{y}} \quad \text{where } \Omega'_{\bullet} := \{\underline{x} - \underline{x}_{\bullet} \mid \underline{x} \in \Omega_{\bullet}\} \end{aligned}$$

which, similarly as before, can lead to estimates of “ $r$ -s influence tensors of  $\Omega_{\gamma}$  over  $\Omega_{\alpha}$ ”

$$\begin{aligned} ({}^n T_{r,s}^{\alpha\gamma})_{r_1 \dots r_r i j k l s_1 \dots s_s} &= \frac{1}{|\Omega|} [W_0^{r,0}(\Omega'_{\alpha})]_{r_1 \dots r_r} \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) [W_0^{s,0}(\Omega'_{\gamma})]_{s_1 \dots s_s} \\ &+ \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i}{(k-i)! i!} \Gamma_{ijkl k_1 \dots k_k}^{(k)}(\underline{x}_{\gamma\alpha}) [W_0^{r+k-i,0}(\Omega'_{\alpha})]_{k_1 \dots k_{k-i} r_1 \dots r_r} [W_0^{i+s,0}(\Omega'_{\gamma})]_{k_{k-i+1} \dots k_k s_1 \dots s_s} \end{aligned}$$

$$\begin{aligned} {}^n \Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) = {}^n \Gamma_{klij}(\underline{y} - \underline{x} + \underline{x}_{\alpha\gamma}) \Big| \implies ({}^n T_{r,s}^{\alpha\gamma})_{r_1 \dots r_r k l i j s_1 \dots s_s} &= ({}^n T_{r,s}^{\alpha\gamma})_{r_1 \dots r_r i j k l s_1 \dots s_s} \\ {}^n \Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) = {}^n \Gamma_{klij}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) \Big| \implies ({}^n T_{s,r}^{\gamma\alpha})_{s_1 \dots s_s k l i j r_1 \dots r_r} &= ({}^n T_{r,s}^{\alpha\gamma})_{r_1 \dots r_r i j k l s_1 \dots s_s} \end{aligned} \quad 12$$

# Self-influence tensors for polarization fields in $\mathcal{V}^{h_p}$

Similarly as before, we want to address the terms with those components:

$$\begin{aligned}
 & \int_{\Omega_\alpha} \int_{\Omega_\alpha} (x_{r_1} - x_{r_1}^\alpha) \dots (x_{r_r} - x_{r_r}^\alpha) \Gamma_{ijkl}(\underline{x} - \underline{y}) (y_{s_1} - x_{s_1}^\alpha) \dots (y_{s_s} - x_{s_s}^\alpha) d\nu_{\underline{x}} d\nu_{\underline{y}} \\
 & \quad = \quad \downarrow \text{Change of variable} \\
 & \int_{\Omega_\alpha^\gamma} \int_{\Omega_\alpha'} x_{r_1} \dots x_{r_r} \Gamma_{ijkl}(\underline{x} - \underline{y} + \underline{x}_{\gamma\alpha}) (y_{s_1} - x_{s_1}^{\gamma\alpha}) \dots (y_{s_s} - x_{s_s}^{\gamma\alpha}) d\nu_{\underline{x}} d\nu_{\underline{y}}
 \end{aligned}
 \quad \left| \begin{array}{l} \Omega_\alpha' := \Omega_\alpha \uplus \{-\underline{x}_\alpha\} \\ \Omega_\alpha^\gamma := \Omega_\alpha \uplus \{-\underline{x}_\gamma\} \\ \quad = \Omega_\alpha' \uplus \{\underline{x}_{\gamma\alpha}\} \end{array} \right.$$

so that the following estimates of the “ $r$ -s self-influence tensor of  $\Omega_\alpha$ ” are obtained after picking some  $\gamma \neq \alpha$ ,

$$\begin{aligned}
 ({}^n T_{r,s}^{\alpha\alpha})_{r_1 \dots r_r i j k l s_1 \dots s_s} &= \frac{1}{|\Omega|} [W_0^{r,0}(\Omega_\alpha')]_{r_1 \dots r_r} \Gamma_{ijkl}(\underline{x}_{\gamma\alpha}) [W_0^{s,0}(\Omega_\alpha')]_{s_1 \dots s_s} \\
 &+ \frac{1}{|\Omega|} \sum_{k=1}^n \sum_{i=0}^k \frac{(-1)^i}{(k-i)! i!} \Gamma_{ijkl k_1 \dots k_k}^{(k)}(\underline{x}_{\gamma\alpha}) [W_0^{r+k-i,0}(\Omega_\alpha')]_{k_1 \dots k_{k-i} r_1 \dots r_r} [\gamma \widetilde{\mathcal{W}}_0^{i|s,0}(\Omega_\alpha')]_{k_{k-i+1} \dots k_k s_1 \dots s_s}
 \end{aligned}
 \quad \boxed{\text{for any } \gamma \neq \alpha}$$

where

$$\gamma \widetilde{\mathcal{W}}_0^{i|s,0}(\Omega_\alpha') := \sum_{t=0}^i \binom{i}{t} (\underline{x}_{\gamma\alpha})^{\otimes i-t} \odot^{i-t,t} \mathcal{W}_0^{t+s,0}(\Omega_\alpha')$$

Which, once again, can be obtained by post-processing the Minkowski tensors computed previously.

# HS functional for trial fields in $\mathcal{V}^{h_p}$ (derivation)

From our definition of the estimates of influence tensors, we obtain

$$\overline{\boldsymbol{\tau}^{h_p} : n(\boldsymbol{\Gamma} * \boldsymbol{\tau}^{h_p})} = \sum_{\alpha} \sum_{\gamma} \left[ \boldsymbol{\tau}^{\alpha} : {}^n\mathbb{T}_{0,0}^{\alpha\gamma} : \boldsymbol{\tau}^{\gamma} + \sum_{r=1}^p \sum_{s=1}^p \left\langle \boldsymbol{\partial}^r \boldsymbol{\tau}^{\alpha}, \left\langle {}^n\mathbb{T}_{r,s}^{\alpha\gamma}, \boldsymbol{\tau}^{\gamma} \boldsymbol{\partial}^s \right\rangle_{s+2} \right\rangle_{r+2} \right]$$

The other term,  $\overline{\boldsymbol{\tau}^{h_p} : (\Delta\mathbb{L})^{-1} : \boldsymbol{\tau}^{h_p}}$  can be calculated exactly. We obtain

$$\overline{\boldsymbol{\tau}^{h_p} : (\Delta\mathbb{L})^{-1} : \boldsymbol{\tau}^{h_p}} = \sum_{\alpha} \Delta\mathbb{M}^{\alpha} :: \left[ c_{\alpha} \boldsymbol{\tau}^{\alpha} \otimes \boldsymbol{\tau}^{\alpha} + \sum_{r=1}^p \sum_{s=1}^p \left\langle \boldsymbol{\tau}^{\alpha} \boldsymbol{\partial}^r, \left\langle \mathcal{W}_0^{r+s,0}(\Omega'_{\alpha}), \boldsymbol{\partial}^s \boldsymbol{\tau}^{\alpha} \right\rangle_s \right\rangle_r \right]$$

where  $\Delta\mathbb{M}^{\alpha} := (\mathbb{L}^{\alpha} - \mathbb{L}^0)^{-1}$  so that the following estimate of the HS functional  ${}^n\mathcal{H}(\boldsymbol{\tau}^{h_p}) := \overline{\boldsymbol{\tau}^{h_p} : \bar{\boldsymbol{\varepsilon}}} - 1/2 \overline{\boldsymbol{\tau}^{h_p} : (\Delta\mathbb{L})^{-1} : \boldsymbol{\tau}^{h_p}} - 1/2 \overline{\boldsymbol{\tau}^{h_p} : n(\boldsymbol{\Gamma} * \boldsymbol{\tau}^{h_p})}$  is

$$\begin{aligned} {}^n\mathcal{H}(\boldsymbol{\tau}^{h_p}) = & \sum_{\alpha} \left( c_{\alpha} \boldsymbol{\tau}^{\alpha} : \bar{\boldsymbol{\varepsilon}} + \sum_{r=1}^p \left\langle \boldsymbol{\tau}^{\alpha} \boldsymbol{\partial}^r, \mathcal{W}_0^{r,0}(\Omega'_{\alpha}) \right\rangle_r : \bar{\boldsymbol{\varepsilon}} \right) \\ & - \frac{1}{2} \sum_{\alpha} \Delta\mathbb{M}^{\alpha} :: \left( c_{\alpha} \boldsymbol{\tau}^{\alpha} \otimes \boldsymbol{\tau}^{\alpha} + \sum_{r=1}^p \sum_{s=1}^p \left\langle \boldsymbol{\tau}^{\alpha} \boldsymbol{\partial}^r, \left\langle \mathcal{W}_0^{r+s,0}(\Omega'_{\alpha}), \boldsymbol{\partial}^s \boldsymbol{\tau}^{\alpha} \right\rangle_s \right\rangle_r \right) \\ & - \frac{1}{2} \sum_{\alpha} \sum_{\gamma} \left( \boldsymbol{\tau}^{\alpha} : {}^n\mathbb{T}_{0,0}^{\alpha\gamma} : \boldsymbol{\tau}^{\gamma} + \sum_{r=1}^p \sum_{s=1}^p \left\langle \boldsymbol{\partial}^r \boldsymbol{\tau}^{\alpha}, \left\langle {}^n\mathbb{T}_{r,s}^{\alpha\gamma}, \boldsymbol{\tau}^{\gamma} \boldsymbol{\partial}^s \right\rangle_{s+2} \right\rangle_{r+2} \right) \end{aligned}$$



# Stationarity conditions for trial fields in $\mathcal{V}^{h_p}$

The stationary state of the functional is such that

First, let  $\partial_{\tau^\alpha} {}^n\mathcal{H} = \mathbf{0}$  for all  $\alpha$  :

After using  $({}^nT_{0,0}^{\gamma\alpha})_{ijkl} = ({}^nT_{0,0}^{\alpha\gamma})_{klij}$  for  $\gamma \neq \alpha$  and symmetrizing our estimates of self-influence tensors  ${}^n\mathbb{T}_{0,0}^{\alpha\alpha}$  , we obtain

$$c_\alpha \bar{\epsilon} = c_\alpha \Delta \mathbb{M}^\alpha : \tau^\alpha + \sum_{\gamma} {}^n\mathbb{T}_{0,0}^{\alpha\gamma} : \tau^\gamma \quad \text{for all } \alpha.$$

Second, let  $\partial_{\tau^\alpha} \partial^r {}^n\mathcal{H} = \mathbf{0}$  for all  $\alpha, r$  s.t.  $1 \leq r \leq p$  :

Similarly, after using  $({}^nT_{0,0}^{\gamma\alpha})_{r_1 \dots r_r i j k l s_1 \dots s_s} = ({}^nT_{0,0}^{\alpha\gamma})_{s_1 \dots s_s k l i j r_1 \dots r_r}$  for  $\gamma \neq \alpha$  and symmetrizing our estimates of self-influence tensors  ${}^n\mathbb{T}_{s,r}^{\alpha\alpha}$  , we obtain

$$\bar{\epsilon} \otimes \mathcal{W}_0^{r,0}(\Omega'_\alpha) = \Delta \mathbb{M}^\alpha : \sum_{s=1}^p \left\langle \tau^\alpha \partial^s, \mathcal{W}_0^{s+r,0}(\Omega'_\alpha) \right\rangle_s + \sum_{\gamma} \sum_{s=1}^p \left\langle \partial^s \tau^\gamma, {}^n\mathbb{T}_{s,r}^{\gamma\alpha} \right\rangle_{s+2}$$

for all  $\alpha, r$  s.t.  $1 \leq r \leq p$  :



# Computation of a table of derivatives of Green operators

- For some given order  $n$  of the Taylor expansion used for the Green operators, we need to compute

$$\Gamma_{ijkl,k_1}(\underline{x}_{\gamma\alpha}), \Gamma_{ijkl,k_1}^{(1)}(\underline{x}_{\gamma\alpha}), \Gamma_{ijkl,k_1k_2}^{(2)}(\underline{x}_{\gamma\alpha}), \dots, \Gamma_{ijkl,k_1\dots k_k}^{(n)}(\underline{x}_{\gamma\alpha})$$

- Taking advantage of symmetries, if all pairwise interactions are to be accounted for, this means that

$$\begin{aligned} & 6 \binom{n_\alpha}{2} \binom{n+2}{2} \\ &= \\ & \frac{3(n_\alpha - 1)n_\alpha(n+1)(n+2)}{2} \end{aligned}$$

components need to be evaluated.

- The construction of the table of derivatives is what governs the computing time of the current implementation.
- In a later time, it would be relevant to introduce “ $k$ -fold neighborhoods” by limiting the number of grains  $\Omega_\gamma$  interacting with a grain  $\Omega_\alpha$  to the  $\tilde{n}_\alpha(\alpha, 1) < n_\alpha$  nearest neighbors, or to the  $\tilde{n}_\alpha(\alpha, 2) < n_\alpha$  first and second nearest neighbors, and so on...

# 2D Barnett-Lothe integral formalism

- The Green operator obtained as follows from the Green's function,

$$4\Gamma_{ijkl}(r, \theta) := G_{ik,jl}^{(2)}(r, \theta) + G_{il,jk}^{(2)}(r, \theta) + G_{jk,il}^{(2)}(r, \theta) + G_{jl,ik}^{(2)}(r, \theta)$$

- Irrespectively of the material symmetry, 2D Green's functions are a by-product of the Barnett-Lothe (1973) integral formalism. We have

$$2\mathbf{G}(r, \theta) = -\frac{1}{\pi} \ln(r) \mathbf{H}(\pi) - \mathbf{S}(\theta) \cdot \mathbf{H}(\pi) - \mathbf{H}(\theta) \cdot \mathbf{S}^T(\pi)$$

where  $\mathbf{S}(\theta) = \frac{1}{\pi} \int_0^\theta \mathbf{N}^1(\psi) d\psi$  and  $\mathbf{H}(\theta) = \frac{1}{\pi} \int_0^\theta \mathbf{N}^2(\psi) d\psi$  are incomplete Barnett-Lothe integrals with integrands readily computable for every symmetry.

- To evaluate  $\Gamma_{ijkl}$ , we only need those integrands and the complete integrals  $\mathbf{S}(\pi)$  and  $\mathbf{H}(\pi)$ , which we evaluate numerically.
- We derive the following recurrence relations:

$$2\pi G_{ij,k_1 \dots k_n}^{(n)}(r, \theta) = (-r)^{-n} h_{ij,k_1 \dots k_n}^n(\theta)$$

$$h_{ij,k_1 \dots k_n}^n(\theta) = (n-1) h_{ij,k_1 \dots k_{n-1}}^{n-1}(\theta) n_{k_n}(\theta) - \partial_\theta [h_{ij,k_1 \dots k_{n-1}}^{n-1}(\theta)] m_{k_n}(\theta) \text{ for } n \geq 2$$

$$\partial_\theta^k [h_{ij,k_1 \dots k_n}^n(\theta)] = \sum_{s=0}^k \binom{k}{s} \left\{ (n-1) \partial_\theta^{k-s} [h_{ij,k_1 \dots k_{n-1}}^{n-1}(\theta)] \partial_\theta^s [n_{k_n}(\theta)] - \partial_\theta^{k-s+1} [h_{ij,k_1 \dots k_{n-1}}^{n-1}(\theta)] \partial_\theta^{s+1} [n_{k_n}(\theta)] \right\}$$

$$h_{ij,k_1}^1(\theta) = H_{ij} n_{k_1}(\theta) + [N_{is}^1(\theta) H_{sj} + N_{is}^2(\theta) S_{js}] m_{k_1}(\theta)$$

$$\partial_\theta^k [h_{ij,k_1}^1(\theta)] = H_{ij} \partial_\theta^k [n_{k_1}(\theta)] + \sum_{s=0}^k \binom{k}{s} \{ H_{lj} \partial_\theta^{k-s} [N_{il}^1(\theta)] + S_{jl} \partial_\theta^{k-s} [N_{il}^2(\theta)] \} \partial_\theta^s [m_{k_1}(\theta)]$$

Requires evaluation of  $\partial_\theta^k [N_{il}^1(\theta)]$  and  $\partial_\theta^k [N_{il}^2(\theta)]$  for  $k = 0, \dots, n-1$

# 2D Anisotropy

- Polar representation of 2D anisotropic stiffnesses, see Vannucci (2016)

$$L_{1111} = T_0 + 2T_1 + R_0 \cos(4\Phi_0) + 4R_1 \cos(2\Phi_1)$$

$$L_{1112} = R_0 \sin(4\Phi_0) + 2R_1 \sin(2\Phi_1)$$

$$L_{1122} = -T_0 + 2T_1 - R_0 \cos(4\Phi_0)$$

$$L_{1212} = T_0 - R_0 \cos(4\Phi_0)$$

$$L_{2212} = -R_0 \sin(4\Phi_0) + 2R_1 \sin(2\Phi_1)$$

$$L_{2222} = T_0 + 2T_1 + R_0 \cos(4\Phi_0) - 4R_1 \cos(2\Phi_1)$$

$T_0, T_1$  : Isotropic polar invariants

$R_0, R_1, \Phi_0 - \Phi_1$  : Anisotropic polar invariants

Substitute  $\Phi_j$  by  $\Phi_j - \theta$  for counter clockwise positive passive rotation

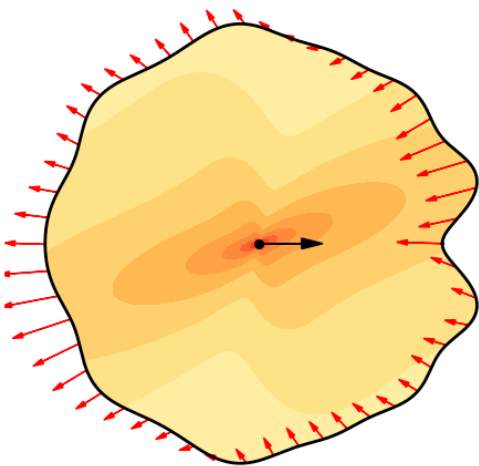
$$\begin{aligned} & \text{Conditions for positive strain energy} \\ & T_0 - R_0 > 0, \\ & T_1(T_0^2 - R_0^2) - 2R_1^2\{T_0 - R_0 \cos[4(\Phi_0 - \Phi_1)]\} > 0, \\ & R_0 \geq 0, \\ & R_1 \geq 0. \end{aligned}$$

$$R_0 R_1^2 \sin[4(\Phi_0 - \Phi_1)] \neq 0$$

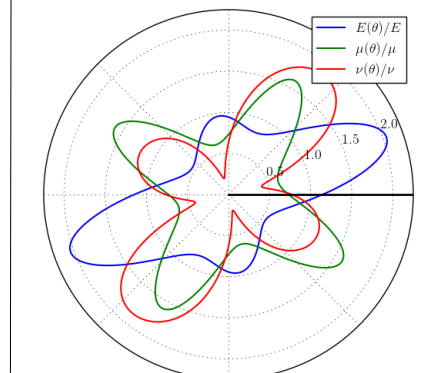
$$R_0 R_1^2 \sin[4(\Phi_0 - \Phi_1)] = 0 \implies \text{Symmetry}$$

## Validation

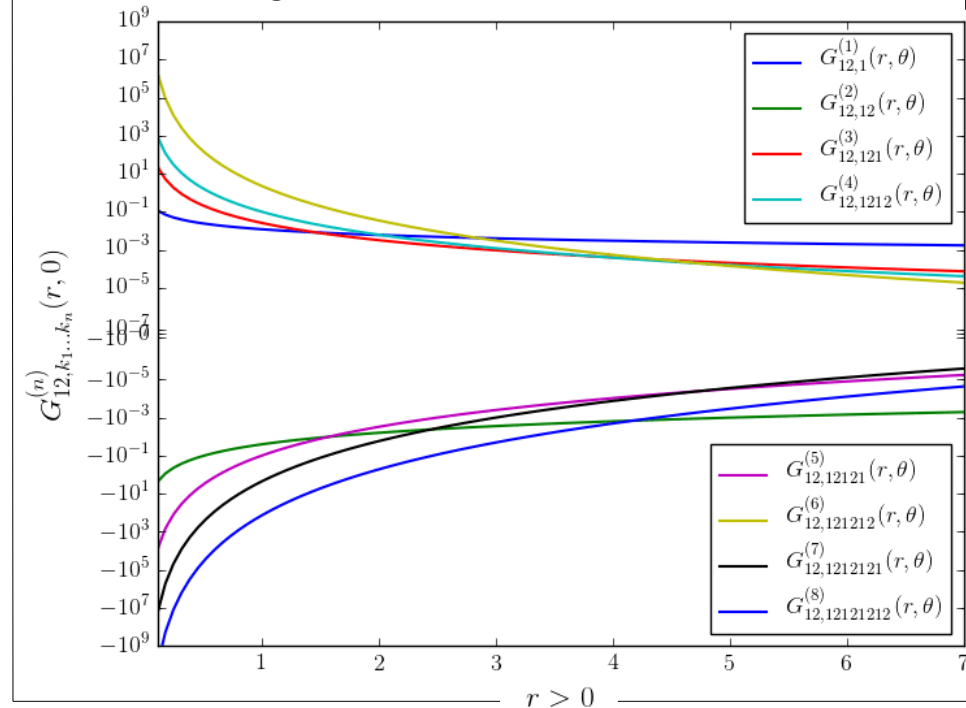
Equilibrated traction fields on random curves



Polar diagram of generalized moduli



## Computed components of some gradients of the Green's function



# Morphological characterization for simple geometries

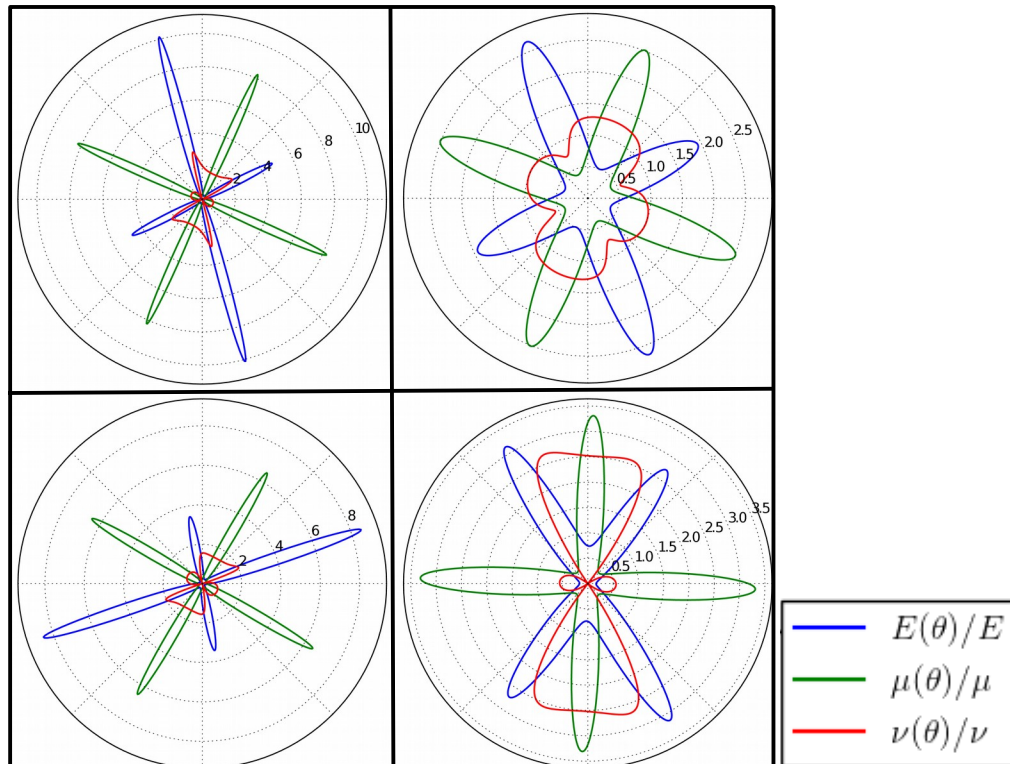
- As a first application, we consider a 2D periodic array of anisotropic squares. The corresponding Minkowski tensors of interest have components

$$[\mathcal{W}_0^{r,0}](n_1) := [\mathcal{W}_0^{r,0}] \underbrace{11\dots 1}_{(n_1 \text{ times})} \underbrace{22\dots 2}_{(r - n_1 \text{ times})}$$

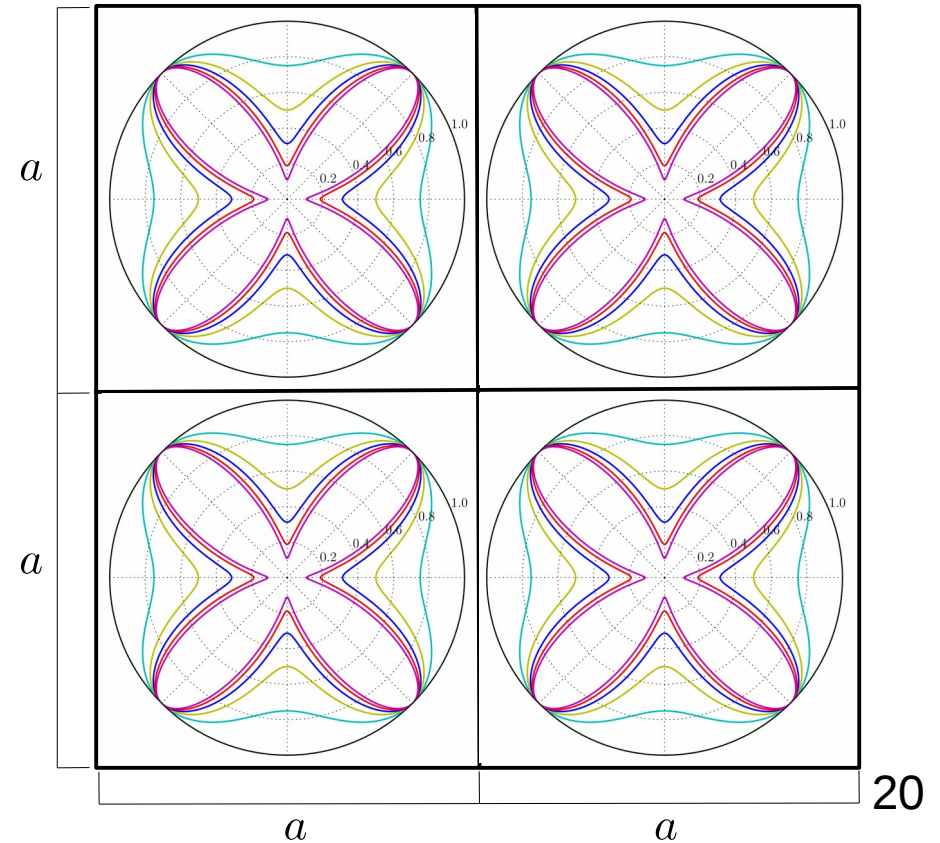
$$\begin{aligned} n_1 &\in [0, r] \\ n_2 &:= r - n_1 \end{aligned}$$

$$[\mathcal{W}_0^{r,0}](n_1) = \frac{(a/2)^{n_1+n_2+2} - (-a/2)^{n_1+1}(a/2)^{n_2+1} - (a/2)^{n_1+1}(-a/2)^{n_2+1} + (-a/2)^{n_1+1}(-a/2)^{n_2+1}}{(n_1 + 1)(n_2 + 1)}$$

Polar diagram of generalized moduli



Reynolds glyphs of normalized Minkowski tensors  $\mathcal{W}_0^{r,0}$  for  $r \leq 12$

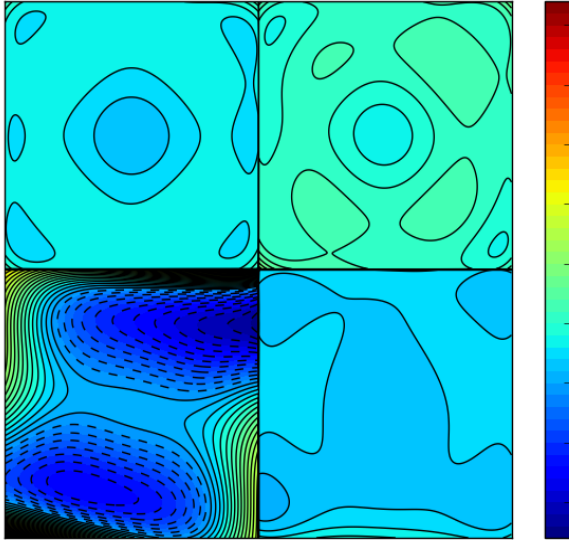




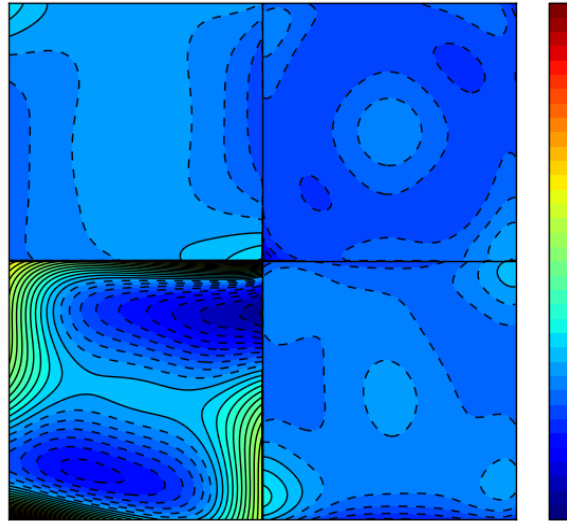
# Results

- Preliminary results for a uniaxial average strain  $\langle \varepsilon \rangle = \underline{e}_2 \otimes \underline{e}_2$

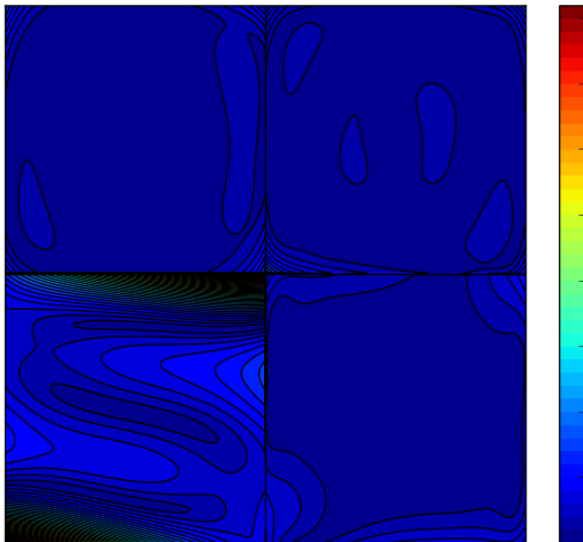
$$(\tau_{11}/T_0)(T_0 + T_1)/(T_0 + 2T_1)$$



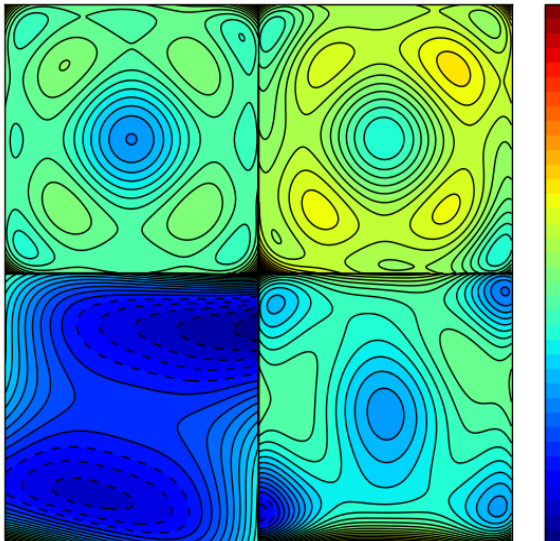
$$\tau_{12}/T_0$$



$$\|\nabla \cdot \boldsymbol{\tau}\|$$



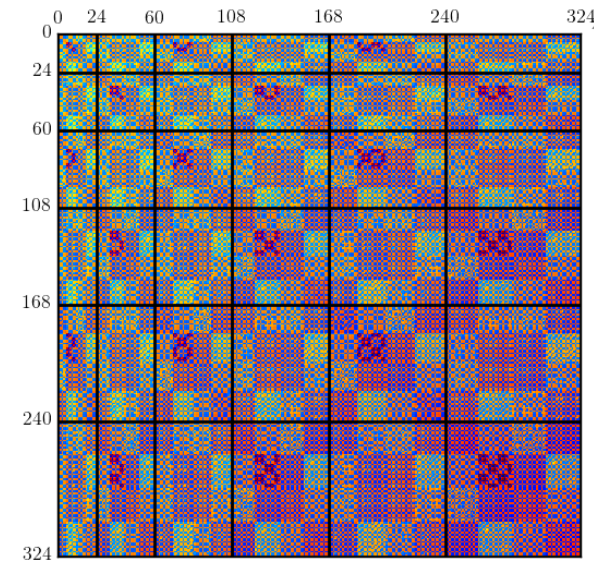
$$(\tau_{22}/T_0)(T_0 + T_1)/(T_0 + 2T_1)$$



Note that

$$\begin{bmatrix} [\mathbb{D}_1^1] & [\mathbb{D}_2^1] & [\mathbb{D}_3^1] & \dots & [\mathbb{D}_p^1] \\ [\mathbb{D}_1^2] & [\mathbb{D}_2^2] & [\mathbb{D}_3^2] & \dots & [\mathbb{D}_p^2] \\ [\mathbb{D}_1^3] & [\mathbb{D}_2^3] & [\mathbb{D}_3^3] & \dots & [\mathbb{D}_p^3] \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ [\mathbb{D}_1^p] & [\mathbb{D}_2^p] & [\mathbb{D}_3^p] & \dots & [\mathbb{D}_p^p] \end{bmatrix}$$

is symmetric because  $\Omega'_\alpha$  has the same morphology for all  $\alpha$



# Post-processing

- Once an estimate of the polarization stress field is obtained, there are different ways to obtain the corresponding strain field
  - First, from the very definition of the polarization, we have

$$\boldsymbol{\varepsilon}(\underline{x}) = \Delta \mathbb{M}(\underline{x}) : \boldsymbol{\tau}(\underline{x})$$

If so, we can recover closed form expressions of the corresponding piecewise polynomial strain and strain fields:

$$\boldsymbol{\varepsilon}^{h_p}(\underline{x}) = \sum_{\alpha} \left( \chi_{\alpha}(\underline{x}) \boldsymbol{\varepsilon}^{\alpha} + \chi_{\alpha}(\underline{x}) \sum_{k=1}^p \left\langle \boldsymbol{\varepsilon}^{\alpha} \boldsymbol{\partial}^k, (\underline{x} - \underline{x}^{\alpha})^{\otimes k} \right\rangle_k \right)$$

and

$$\boldsymbol{\sigma}^{h_p}(\underline{x}) = \sum_{\alpha} \left( \chi_{\alpha}(\underline{x}) \boldsymbol{\sigma}^{\alpha} + \chi_{\alpha}(\underline{x}) \sum_{k=1}^p \left\langle \boldsymbol{\sigma}^{\alpha} \boldsymbol{\partial}^k, (\underline{x} - \underline{x}^{\alpha})^{\otimes k} \right\rangle_k \right)$$

However, as we do so, we note that the “prescribed” mean strain state is not recovered.

- Another possibility is to exploit the following form of the Lippman-Schwinger equation

$$\boldsymbol{\varepsilon}(\underline{x}) = \bar{\boldsymbol{\varepsilon}} - {}^n \boldsymbol{\Gamma} * \boldsymbol{\tau}^{h_p}(\underline{x})$$

for which derivations as the ones carried over for the definition of the influence tensors is needed.

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  - First, from the very definition of the polarization, we have

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$$\text{and } \boldsymbol{\sigma}^{h_p}(\underline{x}) = \sum_{\alpha} \left( \chi_{\alpha}(\underline{x}) \boldsymbol{\sigma}^{\alpha} + \chi_{\alpha}(\underline{x}) \sum_{k=1}^p \left\langle \boldsymbol{\sigma}^{\alpha} \boldsymbol{\partial}^k, (\underline{x} - \underline{x}^{\alpha})^{\otimes k} \right\rangle_k \right)$$

However, as we do so, we note that the “prescribed” mean strain state is not recovered.

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Work in progress

for which derivations as the ones carried on of the influence tensors is needed.

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